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DFW

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Dated: January 14, 2005 Signature: [Signature]
(Robert B. Cohen)

Docket No.: SONYJP 3.0-189
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Okaue et al.

Application No.: 09/910,368

Group Art Unit: 2131

Filed: July 20, 2001

Examiner: Not Yet
Assigned

For DATA PROCESSING SYSTEM, DATA
PROCESSING METHOD, DATA
PROCESSING APPARATUS, AND PROGRAM
PROVIDING MEDIUM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E)(1)

Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Application No.: 09/910,368

Docket No.: SONYJP 3.0-189

Dated: January 14, 2005

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

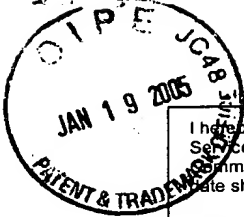
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Attorney for Applicant

LD-456\



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INFORMATION DISCLOSURE STATEMENT

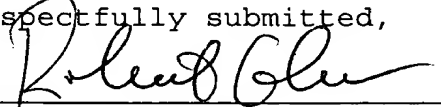
Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: January 14, 2005

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

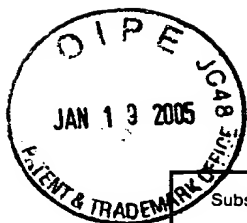
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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-189
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Complete if Known

Application Number	09/910,368
Filing Date	July 20, 2001
First Named Inventor	Takumi Okaue
Art Unit	2131
Examiner Name	Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	5,953,419-A	09-14-1999	Lohstroh et al.	
	AB	5,787,175-A	07-28-1998	Carter	
	AC	6,530,020-B1	03-04-2003	Aoki	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	WO-00/31744-A1	06-02-2000	Memory Corporation Technology Limited		✓
	BB	JP-11-15373-A	01-22-1999	Fuji Xerox Co Ltd		1A
	BC	EP-1 228 407-A2	12-14-2000	The Secretary of State for Defence		✓
	BD	WO-00/39800-A1	07-06-2000	Sony Corporation		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	WALDVOGEL, M. ET AL., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, September 1999, pp. 1614-1631.	✓

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

1A – U.S. Patent No. 6,530,020 is an English counterpart.

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Examiner Signature		Date Considered	
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